Interdisciplinary management between orthodontists, dentists and otolaryngologists of patients with Sleep Disordered Breathing (SDB)

(Breathing Disordered Sleep or Sleep Related Breathing Disorders)



2:40

4:40

Learning Objectives

How alterations in respiration, early in life, can alter <u>cranio-facial growth</u> and leading to common malocclusions, altered airway dimensions and potential health issues later in life. (ref: Myer Marks, MD, Ped Allergy, U Miami & C. Guilleminault, father of SM, Stanford University)

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- Screening and detection of <u>3D facial Biomarkers</u> (color & geometry) of breathing / sleep issues.
- Understand where <u>orthodontic / dento-facial orthopedic therapy</u> fits into the treatment of <u>pediatric</u> patients (as early as 2-5 years) who may have or develop potential SDB (BDS) issues which may lead to cranio-facial growth alterations and malocclusions.

THE MEDICAL / DENTAL TEAM approach to diagnosis and treatment

Airway and TMJ Disorders



Quality & Quantity of life issue

"So what's orthodontics got to do with Airway ?"

16.9cc



174.7 mm²

New Paradigm in Orthodontic **Diagnosis & Treatment Planning** using 3D Imaging

Image Fusion of CBCT & 3D Facial Imaging PSAR





Why Orthodontists and **Otolaryngologists** should be "tied at the hip" when it comes to SDB



The Consequences of Obstructive Sleep Apnea

The SIGNS (Biomarkers) begin to show up EARLY in LIFE Alteration of Craniofacial Growth Cause? Effect? Or Both ? (Merri-go-round)



Primate experiments on oral respiration

Harvold EP, Tomer BS, Vargervik, Chierici G Am J Orthod 1981 Apr;79(4):359-72

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□ <u>Abstract</u>

- Oral respiration associated with obstruction of the nasal airway is a common finding among patients seeking orthodontic treatment.
- The primate experiments reported here are part of a series designed to test some of the current hypotheses regarding the relationship between mouth breathing and dental malocclusions, that is, between deviations in orofacial muscle recruitment and jaw morphogenesis.
- Mouth-breathing was developed in the animals of this experiment by obstruction of the nasal passages with silicon nose plugs.
- The experiments showed that the monkeys adapted to nasal obstruction in different ways. In general, the experimental animals maintained an open mouth. Some increased the oral airway rhythmically, while others maintained the mandible in a lower position with or without protruding the tongue.
- All experimental animals gradually acquired a facial appearance and dental occlusion different from those of the control animals.
- (ie. Class I, Class II, Class III malocclusions with Narrow arches, narrow and recessive Naso-Maxillary Complex, retruded mandible, open bite, anterior tongue thrust, etc.)

Primate experiments on oral respiration

Harvold EP, Chierici G, Vargervik , Am J Orthod Jan 1972



Fig. 1. Tracing of oriented lateral head film, male rhesus monkey. A, Distance from infraorbital border to mandibular symphysis, indicating face height. B, Distance from mandibular condyle to symphysis, indicating mandibular length. C, Distance from infraorbital border to palatal plane.



Fig. 3. A, Skull of male control monkey. B, Skull of male experimental monkey. Note irregular bone surface where platysma is attached to mandible.



Fig. 2. Tracing of oriented lateral head film displaying morphologic changes during experiment from June 2 to Oct. 20, 1970.

44 Harvold, Chierici, and Vargervik

Am. J. Orthod. January 1972



Fig. 4. Unanesthetized male rhesus monkey showing abnormal facial profile subsequent to experimentally induced lowering of mandible. Animal has an Angle Class II, Division 1 malocclusion.

Obesity hypoventilation syndrome (aka <u>Pickwickian syndrome</u>)

Charles Dickens

The Posthumous Papers of the Pickwick Club (also known as The Pickwick **Papers**) 1836 "Joe" the little fat boy who ate and slept all the time Considered lazy



SDON: CHAPMAN & HALL, 156, STP IND.



Posthumous Papers

OP

THE PICKWICK CLUB.

BY CHARLES DICKENS.

FORTY-THREE ILLUSTRATIONS, BY R. SEYMOUR AND PHIZ.

LONDON CHAPMAN AND HALL, 186, STRAND.

In 1889, pediatric surgeon William Hill

- was removing the tonsils from children with obstructed airways to alleviate their struggles with breathing when he noticed unforeseen side effects of the procedure. The tonsillectomies unexpectedly cleared up the children's chronic headaches and problems paying attention in school.
- In the British Medical Journal, Dr. Hill noted that "children, the victims of nasal and pharyngeal obstructions ... frequently demonstrate a marked inability to fix their attention on their lessons or work for any length of time."
- His description of the symptoms are the same as the ones we now associate with <u>ADD & ADHD</u>. These have accelerated to epidemic proportions in the past two decades for unknown reasons.





Development of Malocclusion & Craniomandibular Dysfunction Resulting from Upper Respiratory Allergies & Obstructive Airway Disorders Adapted from the work of Vernon D. Gray, MD, James F. Garry, DDS, FICD, FAAHD and Myer B. Marks, MD

Expanded & Modified by William E. Harrell, Jr, DMD , C.DSM (© 2005) FLOW CHART Beginning Birth "Breath is Life" Medical The first thing you do is BREATHE then CRY Medical & Dental Genetics **Delivery Method** Dental/Orthodontic (Forceps, Natural, Breach, Caesarian, other) Breast fed vs Bottle fed vs 'baby food' Pacifier / Thumb / Finger / Blanket habits OSA / SDB Environment 1. Venous pooling with Capillary Stagnation Allergies presents as "Allergic Shiners" or "dark circles" with various grades of ALLERGIES discoloration. Milk & Food Contactants and/ or inhalant allergens Breast feeding vs bottle feeding - antibodies 2. Edema of the Orbitopalpebral Grooves "Bags" Reduction of Ciliated Columnar Epithelium, 3. Other Biomarkers-Denne's Sign, Increase of Goblet Cells Release of Chemical Mediators such as Histamine, orbital fissure width and height. Kinins, Slow Reacting Substances of Anaphylaxis These are all quantifiable and measurable. (SRA-A) and Eosinophilic Chemotactic Factor (ECF) Increased Nasal Mucous Secretions Nasal breathing to Mouth Breathing, Open mouth, altered tongue position, alteration in airflow & Inflammation from Chemical Mediators Inspissated (thickened) Nasal Mucous Obstructed or decreased air flow - Poiseuille law resistance, turbulence Secretions Reducing the Efficiency of Poiseuille law, Bernoulli law, others Also from O₂ reduction - reduced or slow flow Cilia Locomotion Does O2 act as a lubricant or surfactant for blood flow? Lowered Oxygen Saturation Resulting in Local Stagnation of Bacteria, Viruses, and Allergic Edema of the Nasal and Paranasal Mucosa, **Tissue Acidosis** Foreign Bodies in the Nasal Cavities i.e., Allergic Rhinitis Obstructs Airway - Poiseuille law Acid/ Alkaline Imbalance Partial Anosmia (loss of smell) (Decreased Alkali Reserve) Poor Eating Habits Interference with Optimal Growth and Nutritional Depletion Development from reduction in growth hormones. i.e. Narrowed & recessive Naso-Maxillary complex, recessive & altered Lower resistance to Infection mandibular position, Forward Head Posture, Facial Asymmetry, flattened Malar region,

other







Neuromuscular Closed Bite or Open Bite, Crowding, Crossbite, Lateral and/ or Posterior Displacement of the Mandible

Prevents Lip Seal



Interdisciplinary Treatment TEAM

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► MD

- Otolaryngologist / ENT (AASM)
- Sleep Physician (AASM)
- Pulmonologist (AASM)
- Pediatrician
- Family Practice
- Neurologist
- Plastic & Reconstructive Surgery

Other Medicine & Dentisity Medicine & train together should work & train together should work & train of our patients

DMD / DDS

- trained in DSM (AADSM)
 - Orthodontist
 - Dentist
 - Oral-Maxillofacial Surgeon
 - Other

Other Health Care Professionals







Flow Chart of how Medical/Dental professionals work together on patients with OSA/OAD Modified by William E. Harrell, Jr, DMD (Canadian Dental J Dec 2007 - Jan 2008)



Not a competition between CPAP and OAT

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Schendel S, et al @ Stanford 46 – 54 % do not wear CPAP efficiently < 4 hours / night = THE GOLD STANDARD

Lowe A, et al University of British Columbia

80-85 % wear 6 -8 hours / night

ADA American Dental Association®

America's leading advocate for oral health

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Proposed Policy Statement on the Role of Dentistry in the Treatment of Sleep-Related Breathing Disorders

Sleep related breathing disorders (SRBD) are disorders characterized by disruptions in normal breathing patterns. SRBDs are potentially serious medical conditions caused by anatomical airway collapse and altered respiratory control mechanisms. Common SRBDs include snoring, upper airway resistance syndrome (UARS) and obstructive sleep apnea (OSA). OSA has been associated with metabolic, cardiovascular, respiratory, dental and other diseases. In children, undiagnosed and/or untreated OSA can be associated with cardiovascular problems, impaired

- 4. When oral appliance therapy is prescribed by a physician through written or electronic order for an adult patient with obstructive sleep apnea, a dentist should evaluate the patient for the appropriateness of fabricating a suitable oral appliance. If deemed appropriate, a dentist should fabricate an oral appliance.
- Dentists should obtain appropriate patient consent for treatment that reviews the treatment plan and any potential side effects of using OAT and expected appliance longevity.
- 6. Dentists treating SRBD with OAT should be capable of recognizing and managing the potential side effects through treatment or proper referral.
- 7. Dentists who provide OAT to patients should monitor and adjust the Oral Appliance (OA) for treatment efficacy as needed, or at least annually. As titration of OAs has been shown to affect the final treatment outcome and overall OA success, the use of unattended cardiorespiratory (Tvpe 3) or (Tvpe 4) portable monitors may be used by the
- Dentists are encouraged to screen patients for SRBD as part of a comprehensive medical and dental history to recognize symptoms such as sleepiness, choking, snoring or witnessed apneas and an evaluation for risk factors such as obesity, retrognathia, or hypertension. These patients should be referred, as needed, to the appropriate physicians for proper diagnosis.
- In children, screening through history and clinical examination may identify signs and symptoms of deficient growth and development, or other risk factors that may lead to airway issues. If risk for SRBD is determined, intervention through medical/dental referral or evidenced based treatment may be appropriate to help treat the SRBD and/or develop an optimal physiologic airway and breathing pattern.
- Oral appliance therapy is an appropriate treatment for mild and moderate sleep apnea, and for severe sleep apnea when a CPAP is not tolerated by the patient.

ADA American Dental Association® America's leading advocate for oral health

Evidence Brief: Oral Appliances for Sleep-Related Breathing Disorders

Key Points

- The evidence reviewed in this brief consists of a 2015 clinical practice guideline from the American Academy of Sleep Medicine/American Academy of Dental Sleep Medicine (AASM/AADSM, based on a systematic review and meta-analysis), as well as a 2015 consensus guideline co-authored by dental sleep medicine societies in Italy; 6 randomized trials of oral appliances (OAs) published since the last literature search date of the 2015 AASM/AADSM guideline and that were not already included in the guideline; a 2015 review of systematic reviews; and 8 systematic reviews/meta-analyses published in 2015/2016, two of which were focused on pediatric populations.
- The evidence shows that oral appliances, specifically custom-made, titratable devices, can improve obstructive sleep apnea (OSA) in adult patients compared to no therapy or placebo devices.
- OAs are generally less effective than continuous positive airway pressure (CPAP), but have a role in patients who are intolerant of or who reject CPAP.
- The AASM/AADSM guideline/systematic review found that patient adherence with OAs
 was better than that for CPAP and that OAs have fewer adverse effects that result in
 discontinuation of therapy, compared with CPAP.
- The two recent systematic reviews evaluating the data for oral appliances in pediatric OSA found very limited published evidence for their use and called for additional shortand long-term evidence, especially for health outcomes, such as neurocognitive and cardiovascular function.
- Another gap identified is the lack of published comparative evidence evaluating comprehensive management of oral appliance therapy for OSA (i.e., diagnosis, treatment, and monitoring/titrating therapy) in dental versus other contexts.

Objective

The objective of this brief narrative review is to provide a summary of recent literature published in 2015 and 2016, including systematic reviews (SR), meta-analyses (MA), and selected randomized trials, for the use of oral appliances (e.g., mandibular advancement devices) in the management of sleep-related breathing disorders, principally obstructive sleep apnea/hypopnea syndrome (OSAHS or OSA). In addition, this brief will review and grade the clinical practice guidelines (CPGs) published in 2015: a SR/MA/CPG from the American Academy of Sleep Medicine (AASM) and the American Academy of Dental Sleep Medicine (AADSM) on the

treatment of obstructive sleep apnea and snoring with oral appliances¹ and a consensus guideline co-authored and published in 2015 from dental sleep medicine societies in Italy.²

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This evidence brief was developed in response to ADA Resolution 96H-2015 – Development of ADA Policy on Dentistry's Role in Sleep-Related Breathing Disorders, which directed the Council on Scientific Affairs (CSA) to collaborate with other appropriate ADA agencies to develop policy on "dentistry's role in sleep-related breathing disorders." This brief narrative review is intended to provide a "state of the science" for oral appliances in the management of sleep-related breathing disorders, and will be shared with other ADA Councils (e.g., Council on Dental Practice) to inform discussion regarding the development of policy, as directed by the Resolution. This document was reviewed by a CSA-assembled workgroup (Appendix Table 1) of identified subject-matter experts, as well as members of the ADA Council on Dental Practice.

Background: Sleep-Related Breathing Disorders

Description. Sleep-related breathing disorders comprise a variety of diagnoses, including simple snoring, upper airway resistance syndrome (UARS), central sleep apnea/hypopnea syndrome (CSAHS), and obstructive sleep apnea/hypopnea syndrome (OSAHS or OSA).^{3,4} Both snoring and OSA are common sleep disorders resulting from repetitive narrowing and collapsing of the upper airway.5 In the U.S. the prevalence of OSA is estimated to be 3% to 7% in men and 2% to 5% in women.6 Prevalence is higher, i.e., greater than 50%, in patients with cardiac or metabolic disorders, relative to the general population.⁷

Risk factors for OSA include obesity (the strongest risk factor), upper airway abnormalities, male sex, menopause, and age.⁷ Untreated OSA is associated with multiple adverse sequelae, including systemic hypertension, coronary artery disease, stroke, atrial fibrillation, increased motor vehicle accidents, congestive heart failure, daytime sleepiness, decreased quality of life, and increased mortality.^{7,8} Snoring is also a significant social problem and contributes to decreased quality of life for bed partners through disrupted sleep and may have an independent negative effect on health (e.g., increased risk for cardiovascular disease or Type II diabetes mellitus).⁹⁻¹¹

Diagnosis. Apneas are defined as temporary cessation of breathing of 10 seconds or more, while hypopneas are periods of shallow breathing that result in oxygen desaturation.⁷ OSA is defined by the presence or absence of symptoms (e.g., daytime sleepiness, fatigue, snoring, choking during sleep, nocturia, alterations in performance) and objective assessment of the respiratory disturbance index (RDI; the number of apneas, hypopneas, and arousals from sleep because of respiratory efforts per hour of sleep).⁷ OSA is the presence of subjective symptoms plus an RDI of 5/hr or greater or an RDI of 15/hr in the absence of symptoms.⁷ OSA severity is classified by the number of apneas and/or hypopneas per hour of sleep as detected by polysomnography, known as the Apnea/Hypopnea Index (AHI); an AHI of 5 to 15/hr is considered mild, 16 to 30 moderate, and greater than 30/hr severe OSA). Another measure of OSA severity is the oxygen desaturation index (ODI).¹² The ODI, which is also evaluated during sleep studies, measures the number of times per hour of sleep that the blood's oxygen level drops by a certain percentage from baseline.¹²

23 pages

Obstructive Airway Disorders in the Pediatric population

Craniofacial growth alterations

- Malocclusions







- "Crooked teeth"
 - Narrow Naso-maxillary Com
 - Narrow / constricted mandib
- Class II malocclusion
 - Recessive Mandible majorit
 - Prognathic Maxilla
- Class III malocclusion
 - Recessive Maxilla
 - Prognathic Mandible
- Recessive Maxilla & Mandible
 - Class I
 - Class II



"Breath is Life"

- Mouth breathing
 - Alteration in CF growth
 - Long face / narrow NMC
 - Recessive mandible
- Nasal breathing
- Symptoms of SBD in children
 - ADD / ADHD
 - <u>Bed wetting</u>
- Alteration in growth
 - Growth Hormone is released during REM sleep

- <u>Cranio-Facial & Total Body</u>
- Sleep Issues
- <u>Other</u>





	<u>Color / Luminance</u>	<u>Geometry</u>
	(brown, blue, reflection, etc.)	(Shape, contour, size, linear, angular, slope, depth, height, <u>width)</u>
1. Allergic Shiners Figure 1A	C/L	
2. Bags, Orbitopalpebral edema, <u>swelling</u> Figure 1B	C/L	G (shape, contour)
3. Orbital Aperture Figure 1C		G (height/ <u>width)</u>
4. Nares (Nasal opening) Figure 1A		G (size of <u>opening)</u>
5. Nose width Figure 1A		G (<u>linear)</u>
 Transverse Nasal Crease ("Allergic Salute") Figure !E & 1F 	C	G (linear, depth)
7. Malar region Figure 1D		G (shape, <u>contour)</u>
8. Lips Figure 1A	C	G (size, shape)
9. Open mouth Figure 1A		G (size, height, width, <u>shape)</u>
10. Cheeks Figure 1A & 1D	C	G (shape, <u>contour)</u>
11. Dennje's Sign Figure 1C		G (shape, depth, <u>slope)</u>
12. Face Height (long) Figure 1A		G (linear, angular)
13. Facial width Figure 1D		G (linear, <u>angular)</u>
14. Facial Depth Figure 1D		G (linear, <u>angular)</u>
15. Mandibular Position (retrognathic)		G (size, angular, <u>depth)</u>
16. Asymmetry (Mandible, Maxilla)		G (shape, linear, <u>angular)</u>
17. Eye angulation Figure 1A		G (angular, <u>slope)</u>
18. Other 3D facial geometric landmark data		G (all of <u>above)</u>
19. Other Color / Luminance Data	C/L	

Facial 'Biomarkers' – Airway/Allergies

- A. Clinically recognizable and quantifiable on 3D facial imaging (Adapted from the work of the late Meyer B. Marks, MD, Director Pediatric Allergy, University of Miami Medical Center)
 - 1. "Adenoid or Allergic facies"
 - a. "Long Face"
 - b. Mouth Breather
 - i. "Allergic Gaper"
 - c. Anterior Tongue position
 - 2. Allergic Shiners (dark circles)
 - a. Takes about 1 year to develop
 - 3. Narrow Naso-Max, Nares & Nose
 - 4. Transverse nasal crease
 - a. The "allergic salute"







Anatomy and Pathogenesis of the <u>Allergic Shiner</u>





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Adapted fro the research of the late Myer B. Marks, MD former Chair Pediatric Allergy University of Miami "Stigmata of Respiratory Tract Allergies in Children"

Permission of use by Pfizer

Orofacial dental deformity secondary to Allergic Respiratory Disease & Airway Obstruction





Typical Class II Malocclusion

- a. Narrow Naso-Maxillary Complex (NMC)
- b. Retrognathic Mandible
- c. AND Retrognathic Maxilla (NMC)



Permission of use from Pfizer ©

Early Diagnosis in the Primary Dentition

- * 50 65 % of CF Growth Completed by 4 6 years
- * 70 80 % of CF Growth Completed by 7 8 years
- * 90 95 % of CF Growth Completed by 12 -14 years



Age 2 - 5 <u>Narrow dental & skeletal arches</u> Primary dentition "looks aligned" Arch length problem (crowding) Narrow skeletal structure



Lingual frenum

Lack of proper tongue mobility

Tongue cannot reach roof of mouth during swallow No natural maxillary and mandibular expansion





Different tongue positions and their malocclusions



30mm Tongue not reaching roof of the mouth. Narrowing the Maxilla, Mandible and the Naso-Max Complex

3

28mm

Low Tongue posture may lead to Maxillary Cross Bite. Very Narrow Naso-Maxillary Complex. Vaulted High Palate. May be associated with Class III.

mechanism, conor and orbicularis ed support the denmuscles, thus inpeacerful anterior igue is then capieble citor, premolar, and e malocelusion. The rch and impaired cirstructures lead to cial deformaties.



University of British Columbia 3D Modeling

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Dynamic Hard-Soft Tissue Models for Orofacial Biomechanics

Ian Stavness, John Lloyd, Sidney Fels University of British Columbia

> Yohan Payan TIMC-IMAG Lab, CNRS, France

Siggraph 2010 Talk

https://youtu.be/1rSjCsjRgDE









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I I 0:14/3:06

<u>"Completing the 3D picture"</u> **AJODO April 2008** ► William E. Harrell, Jr., DMD Chris Lane the 3-D picture AIO-DO Volume 139 Number 4 April 2008 American Journal of Orthodontics & Dentofacial Orthopedics 3D facial imaging is the "Sister" technology to CBCT 3D facial surface image capture and co-registration to CBCT in one patient-specific interactive 3D model (PSAR)



<u>Non-Radiographic detection of Facial Biomarkers related</u> to OAD & Cranio-facial growth alterations in Children

Clinical Exam

- Signs or biomarkers which maybe clinically significant for Respiratory Allergy, Obstructive Airway Disorders & Sleep Disordered Breathing (SDB)
- Subtle signs may be overlooked or considered "not clinically significant"

<u>"COMMONNESS is NOT NORMALNESS '</u>

Observational Disregard

- Do children "grow out of it?" or do they "grow into it?"
 - Sleep Disordered Breathing, sleep deprivation, OSA, etc.
 - Decrease in growth hormones & oxygenation especially @ night
 - Permanently alters Cranio-facial growth & development (Naso-Max-Mand)
 - Orofacial & craniofacial Malocclusions (Open bite, Class II, narrow NM)

<u>3D Facial Biomarkers</u> to aid in screening, recognition and early treatment of children with signs or biomarkers of Obstructive Airway Disorders (OAD)



<u>3D Facial Imaging allows for:</u>

Analysis of Facial Form & Facial "Biomarkers"

- for analysis of signs of potential Airway/Allergy Issues
- A Morphometric Facial Analysis

Facial "Biomarkers"





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3D imaging Represents the "Anatomic Truth"





Builds <u>ONE continuous point</u> <u>cloud</u> with <u>ONE coordinate</u> <u>system</u>.

"GREEN"

Verifies the accuracy 99+%

(p < .01)

correspondence between the points

Facial 'Biomarkers' – Airway/Allergies

4. Edema and discoloration of the lower orbitopalprebral grooves (Bags)

- a. The lower eye lid edema results chiefly from spasm of the unstriated 'muscle of Müller' and venous stasis / pooling.
- **5. Flattened Malar Region** (naso-maxillary hypoplasia)

Ibtaining profiles from selected surfaces, please wait.







Angular vei



Perspective

Orthographic

<u>The Influence of Snoring, mouth breathing and Apnoea on facial</u> morphology in late **Childhood** – A Three-Dimensional Study

39

6

vithout sleep disordered The blue areas represent r facial retrusion in the SDB

Ali, AA, Richmond, S, et al. BMJ Open, Sept 2015

0890a10e26\C\ModularSystem

Cardiff University, Wales, UK



Figure 5 Superimposition of average facial shells of sleep disordered breathing and healthy shildren.
Airway Growth and Development: A Computerized 3-Dimensional Analysis

Stephen A. Schendel, MD, DDS, FACS, Richard Jacobson, DMD, MS, and Sadri Khalessi, MS, PhD J Oral Maxillofac Surg 70:2174-2183, 2012

<u>Purpose:</u> The present study was undertaken to investigate the changes in <u>the normal upper airway during</u> growth and development using 3-dimensional computer analysis from cone-beam computed tomography (CBCT) data to provide a normative reference.

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Methods: The airway size and respiratory mode are known to have a relationship to facial morphology and the development of a malocclusion. The use of CBCT, 3-dimensional imaging, and automated computer analysis in treatment planning allows the upper airway to be precisely evaluated. In the present study, we evaluated the growth of the airway using 3-dimensional analysis and CBCT data from age 6 through old age, in 1300 normal individuals from 6 – 56 years of age.

<u>Results</u>: The airway size and length increase until age 20 at which time a variable period of stability occurs. Next, the airway at first decreases slowly in size and then, after age 40, more rapidly. <u>Normative</u> data are provided in the present study for age groups from 6 to 60 years in relation to the airway total volume, smallest cross-sectional area and vertical length of the airway.

<u>Conclusions</u>: This 3-dimensional data of the upper airway will provide <u>a normative reference</u> as an aid in the early understanding of respiration and dentofacial anatomy, which will help in early treatment planning.







200

Maybe our goal in orthodontics should be to create the largest Airway we can.

200 +



Age 46-50

Age 51-55

Age>56

Airway changes in obstructive sleep apnoea patients associated with a supine 47 versus an upright position examined using cone beam computed tomography

Schendel S, Camacho M, Capasso R
 The Journal of Laryngology & Otology 128(9) · August 2014

"Normal" Airway decreases ~ 15 % LD vs UR

OSA patient Airway decreases ~ 46 % LD vs UR

Obstructive Airway Disorders in the Pediatric population

Craniofacial growth alterations ► Malocclusions Narrow / Constriction Naso-maxillary Complex Narrow Mandible Arch Length Problem "Crooked teeth"

> Mismatch between the skeletal supporting structures and the size of the teeth

- Transverse Dimension
- Room for the tongue



IMW 28.07 mm Norm 36-42 mm



IMW 28.07 mm

Narow InterMolar Width (norr

Tongue does not reach the root of the ma

Patient/Chief Complaint(s)/ Symptoms	Clinical exam /	Treatment Plan &	
Patient/citer complaint(s)/ symptoms	Diagnostic Parameters / Problem List	Treatment	BEARS SLEEP SCREENING ALGORITHM for CHILDREN 2 - 18 Years
	biagnostic Parameters / Problem List	reduitent	Child's name L M Male Age 10 Y: 0 Mo Parent(s) name(s) S M Date 02/08/2016
PATIENT INFO Male 10 yrs 0 mo CHIEF COMPLIANT "severe overbite" Snores Labored breathing at night Difficulty breathing at night Grinds his teeth "badly" at night Labored breathing at night Grinds his teeth "badly" at night Labored breathing at night ADD ADD ADD ADD Allergies Bed wetting	CLINICAL EXAM Severe Class II div 1 Retrognathic profile Retrognathic mandible Narrow arches Allergic Shiners Mouth breather DIAGNOSTIC RECORDS Low dose CBCT Pan reconstructed Ceph reconstructed SD Airway Evaluation 3D Airway Evaluation 3D Skeletal & dental relationships 3D face scan 2D Facial & IO photos DIAGNOSIS Maxillary left 3rd molar position over the occlusal of upper left 2 nd molar.	TREATMENT (TX) PLAN / TX OPTIONS REFERRALS • ENT / pediatrician • Had right ear checked 1 week prior • Treated with antibiotics for ear infection • The metal object (small screw) was covered by soft tissue so it was never seen clinically. • Evaluation and removal of "metal object" • After removal, no subsequent infections. • Oral Surgeon • Maxillary left 3 rd molar developing occlusally to the maxillary 2 nd molar. Blocking further	The "BEARS" instrument is divided into five major skep domains, providing a comprehensive screening for the <u>major skep directors</u> affecting Children in the 2- to 15-years of range. The search screening for the <u>major skep directors</u> for use in the clinical interview. Please answer as accurately as you can. Skep and Breakhing Disorders, in children, can lead to major health is sues later in life (e. Skep Aprea, etc.), PLEASE CIRCLE THE YES ORNO QUESTIONS. You may write any further explanations to the YES or NO questions in the space provided or on the other side of this page. Thank You. Dr Harrell and staff B = Bed Time Problems E = Excessive Daytime Steepiness A = Awakenings during the night R = Regularity and duration of skep S = Snoring / gasping / stopping breathing A Does your childhave any problems going to bed? Set of years A Clip Does your child any problems going to bed? Set of years A Does your child have any problems going to bed? Set of years A Does your child have any problems going to bed? Set of years A Does your child have any problems going to bed? Set of years A Does your child A Does your child A Does your child A durate up a lot at naps reberg B. Do they still take A Doe you have any problems years or take naps? A Doe you have any problems years or take naps? A Doe you have any problems years or take naps? A Doe you have any problems years or take naps? A Doe you have any problems years or take naps? A Doe you have any problems years or take naps? A Doe you wreak up a lot at naps or take naps? A Doe you wake up a lot at
 Speech problems 3 (nasal) 3 Headaches in AM Ear infections Right 4 	OTHER INCIDENTAL FINDINGS Metal object found in right ear 	eruption • Early extraction needed	A. Does your child have a regularity and duration of sleep A. Does your child have a regular bedtime and duration of sleep B. What are they? B. What are they? C. Do you think he/she is getting enough sleep? Ye No How much sleep do you usually get?
 BEARS ALGORITHM The 'BEARS' questionnaire (Figure 1) is to screen for Sleep Disorders Breathing issues in children from 2 to 18 years of age For young children the questions are answered by parent(s) or guardian For older children, the questions are answered by the child, EXCEPT for snoring or gasping as these must be witnessed by another individual usually by a parent. 	 Low tongue position at rest PROBLEM LIST Symptoms of SDB Metal object in right ear Position of upper left 3rd molar 	ORTHODONTIC TX PLAN(S) 1. 2. 3. 4. TREATMENT 1. 2. 3. 4.	5. Shoring (Parents answer these guestions on Shoring, gasping or stopping breathing) A. Does your child nore a lot or have difficult breathing or gasping drainity witnessed your child snoring or stopping breathing A. (P) Does your child have bud or nightly snoring or any breathing) A. (P) Does your child have bud or nightly snoring or any breathing) A. (P) Does your child have bud or nightly snoring or any breathing) A. (P) Does your teenager snore loudly at nightly? Yes No B. Has anyone in the family witnessed your stopping breathing during the night? B. (P) Has anyone in the family witnessed your or stop breathing @ night? (P) Has anyone in the family witnessed your or stop breathing @ night? (P) Has anyone in the family witnessed your or stop breathing @ night? (P) Has anyone in the family witnessed your or stop breathing @ night? (P) Has anyone in the family witnessed your teenager snoring, gasping or stop breathing @ night? C. Who ? D. Who ? D. Source: "A Clinical Guide to Pediatrik Sleep: Diagnosis and Management of Sleep Problems" by Jodi A. Mindell and Judith A. Owens; Lippincott Williams & Wilkins D.







Figure 5

> The best parallel in humans of why early diagnosis and treatment is critical in children, is to compare children who have 'club feet' or talipes equinovarus.

> When is the best time to intervene with treatment? Extremely early (birth - 3 months), Very early (2-5 years), 'Early Tx' (6-9 years), or later at 12-14 years of age after growth is almost complete.

> Treatment for clubfoot usually starts soon after birth (Figures 1 & 2) due to rapid growth potential and the ability to slowly normalize the feet into their normal positions so that when the child begins to walk, their feet and legs are now in their correct position Figure 3.

>THEY DO NOT GROW OUT OF IT !! THEY GROW INTO IT !!

> Nonsurgical 'early' treatments such as casting or splinting are usually tried first. The foot (or feet) is moved (manipulated) into the most normal position possible and held (immobilized OR 'RETAINED') in that position until the further corrective treatment is needed, see Figure 4.





Figure 5

- This manipulation and immobilization procedure is repeated every 1 to 2 weeks for 2 to 4 months, moving the foot a little closer toward a normal position each time. Some children have enough improvement that the only further treatment is to keep the foot in the corrected position by splinting it as it grows (i.e. retention).
- <u>'Corrective shoes'</u> are sometimes used to 'fine tune' the feet after 'growth guidance' is done. This is similar to early orthodontic intervention at 3 8 years of age using growth guidance, expansion, mandibular advancement, etc. and then using <u>braces</u> to 'fine tune' and detail the occlusion at a later date.
- Surgery would be the only option if we waited until growth was complete and the results would be a significant compromise see Figure 5. Extraction of permanent teeth IS SURGERY and in my opinion, is a COMPROMISE.

TMJ / OAD

To 62

END

Obstructive Airway Disorders in the Pediatric population

Craniofacial growth alterations

► Malocclusions

- Class II malocclusion
- Recessive Mandible majority
 - Herbst
- Prognathic Maxilla







83.5 % of Normal (100+ for 10 yr old) or 16.5% smaller



Obstructive Airway Disorders in the Pediatric population

Craniofacial growth alterations Malocclusions

- Class III malocclusion
 - Recessive Maxilla
 - Prognathic Mandible
 - Combination

SNA = 78.4° (Norm 82 +- 2) SNB = 83.9° (Norm 80 +-2)

ANB = - 5.5 ° (Norm + 2 +-2)



Orthodontic pre-surgical preparation

15.0cc



Obstructive Airway Disorders in the Pediatric population

Craniofacial growth alterations Malocclusions

Recessive Maxilla & Mandible



≻Class I

Tx = MMA / Expansion / growth



≻Class II

Cross Bite

- > with or without crossbite
- ➢ RPE
- > Expansion / uprighting





Obstructive Airway Disorders in the Pediatric population

<u>Craniofacial growth alterations</u>

• <u>Soft tissues</u>

- Tonsils
- Adenoids
- Tongue
- other



(Schendel, Jacobson, et al)

55









1 month follow up OAT AHI 4 MCSA = 151.9 mm²

2,665 % Poiseuille's law Double Radius = 16 X

What do TMJ Disorders (TMD) have to do with airway problems?







To 54 To 59 To 62 END Is Centric Relation always the position of choice for Temporomandibular Joint Disorders (TMD)?

A Case Report of how TMD and Airway dimension may be associated

> Harrell, William (Orthodontist), Tatum, Tim (General Dentist), Koslin, Michael (Oral Surgeon) Compendium, vol. 38 # 4, April 2017



Facial & IntraOrals Centric Occlusion (her 'normal bite')

 Previous Ortho from another practitioner
 Finished in 2012

Initially Impacted UR
 Cuspid

• Medical/Dental History WNL



Chief Complaint?

- Her New Dentist picked up a "problem" with her occlusion
- ► <u>PAIN</u>
 - ▶ Pain level = 7 (0-10)
 - Right TMJ
 - Right clicks & locks @ times and painful to move
 - Pain in Left Masseter and Left Temporalis
- ROM Difficult to open for 2 years
 - MO = 35 mm (40+), RL = 0 mm (10), LL = 5 mm (10)
 - Deviates to Right on opening and Left on closure
- "Bite feels off"
- Does not sleep well
 - Epworth Sleep Scale (ESS) = 10
 - ▶ 10 and > One Red Flag
 - 18 and > Two Red Flags









Airway Volume in <u>Centric Occlusion (CO)</u> Minimal Cross-sectional area = 142.3 mm^2 ⁶⁰





So What is the problem ?

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"And now for the Rest of the Story" – Paul Harvey



TMJ Views from iCAT CBCT62Note: Right Condyle distracted in CO and Degenerative.



Panoramic View reconstructed from CBCT in CO Orthographic from iCAT CBCT Pan oriented to Mid-Sagittal Plane



04/18/2013



Airway Minimal Cross-Sectional Area CO = 142.3 mm² Airway Minimal Cross-Sectional Area CR = 97.2 mm² (68.3 %)

CR airway MCSA 31.7 % less than CO airway !!



Ideal

Maxillary <u>CO</u> Splint to support the Right Condylar position AND the AIRWAY to Treat to the CO Position with MMA

65







04/24/2013











04/24/2013



04/24/2013

04/24/2013



Problem Palatal coverage **Maxillary Occlusal** Splints for TMJ.

AHI by 50 % in ½ of the patients.

Even with Maxillary Orthodontic Retainers.

Decrease in tongue space.

Pre – Surgery Maxillary Advancement & BSSO (MMA) Surgery 7/17/2014



















Final CBCT Reconstructed Panoramic Oriented to Mid-Sagittal



Final 1/22/2014





Airway Measurements Initial CO Initial CR Final CR=CO

Initial CO 4/15/2013



142.3 mm²

Initial CR 4/15/2013



99.9 mm² 31% Decrease Final CO=CR 1/22/2014



150.1 mm² Surgical Edema 50.25 % increase from CR 5.5 % increase from CO

END





"Cure" for OSA ?

- 1. Tracheotomy (CURE, 100%)
- 2. Maxillary / Mandibular Advancement Surgery (MMA) (th sliding genioplasty (CURE, >95%)
- 3. CPAP / BIPAP / AutoPAP (MANAGER >)5% but 48 54% don't wear effectively)
- 4. Oral Appliance Therapy (OAT) (M/
- 5. Combination CPAP & OAT (MANAGE ENT
- 6. Correcting anatomical problem
 - 1. Obstructions Adenoids (60%) Tur (allergy), er
 - 2. Early Declaration

Α.

- Real of
- proves tongue and eral function

GEMENT

- B. NASAL BREA
- 3. InSpire Genioglossus nervi
- 4. Others

END

70

ted Science (10-15%), Sinuses, Inflammation

eduction pressure of CPAP)

75% effective)

/ Myo-functional / habit correction



Detect Adult & Pediatric SDB / monitoring cooperation and risks

71

Urine Biomarkers of OSA

- Dr. David Gozal Dept Pediatrics Chicago <u>"Urine test for pediatric obstructive</u> <u>sleep apnea possible."</u> American Thoracic Society December 2009.
 - Nine proteins were increased and three were decreased in those with OSA. There was no difference in protein levels between children who snored and those with no obstructive sleep apnea.
 - Levels of just four of those proteins provided a highly accurate test for apnea and "can potentially be used to screen children with habitual snoring in the future," the authors wrote.
 - Several of the proteins that were elevated in children with OSA are associated with inflammation and are considered sensitive indicators of mild kidney damage. The researchers suspect that the "intermittent hypoxia and globally increased oxidative stress and inflammatory processes activated by OSA may lead to mild renal dysfunction."
 - The next steps, Gozal said, are to validate these findings in urine samples from many children from laboratories around the country and to "develop a simple color-based test that can be done in the physician office or by the parents."



Detect Adult & Pediatric SDB / monitoring cooperation and risks

Blood Biomarkers of OSA

- Biomarkers associated with obstructive sleep apnea and morbidities: a scoping review"
- Sleep Medicine vol 16, # 3, March 2015, Pages 347-357
- Canto G de L, Major P, Gozal D, et.al
- 3D Facial Biomarkers of SDB / OSA
 - Harrell in progress
- Pediatric night time Pulse Ox
 - Development of a screening tool for sleep disordered breathing in children using the phone Oximeter[™]"
 - PLoS One, 2014 Nov 17;9(11)
 - Garde A, Dehkordi P, Karlen W, Wensley D, Ansermino JM, Dumont GA





Detect Adult & Pediatric SDB / monitoring cooperation and risks

- <u>4D Imaging</u> 60 fps of 3D surface
 - Speaking / talking / chewing
- <u>"Mass Springs"</u> Stanford BioComp Center
- Smart Phone APP University of Washington - Nathaniel Watson, MD Apnea App http://apnea.cs.washington.edu/
- Tele-Monitoring
 - 1. Treatment response
 - 2. Cooperation
 - 3. 3D Dental & Jaw monitoring of occlusal & jaw
 - changes using 3D Intra-Oral imaging and a Smart Phone App (Dental Monitoring ™) In development.





Didgeridoo

- The didgeridoo (also known as a didjeridu) is a 'wind instrument' developed 1,500 years ago by indigenous Australians of northern Australia and still in widespread use today.
- Requires to learn <u>"Circular Breathing"</u> breathing in through the nose and out the mouth <u>simultaneously</u>. "Like a reverse CPAP". Majority of individuals cannot learn circular breathing.
- Published study <u>"Didgeridoo playing as alternative treatment for obstructive sleep apnoea syndrome: randomized controlled trial</u>" (BMJ 2006) on 25 patients & controls / avg AHI 21 / Epworth 11.8 20 min / day 5.9 days week 4 months AHI decreased avg 6.2 & Epworth decreased 4.4





Airway Centered Team Approach

"Breath is Life"

Orthodontist / Dentist / Oral Surgeons / ENT / Pulmonary / Sleep Doc / Sleep Lab / Pediatrician / Pediatric Dentist

<u>CHILD</u>

- Early Recognition
 - Allergy & Airway issues
- Early Treatment
 - Growth Guidance
 - Expansion
 - Maxillary & Mandibular growth
- Orthodontics
 - Retraction vs forward growth guidance
 - Healthy Start Myo-functional Therapy
 - Herbst appliance
 - Maxillary & Mandibular Growth
 - Expansion vs Extraction to resolve dental and skeletal problems





<u>ADULT</u>

CPAP Intolerance

 (J Clin Sleep Med 2007 "50% of patients who are recommended for CPAP therapy are noncompliant within 1 year")

Oral Appliances

- Posture the mandible forward
- Opens the Airway
- Documented in 3D (CBCT)
- Titration evaluated by further Sleep Study
- Monitoring "Bite" Changes / controlling
- ► Follow up
- Orthodontic / Oral Surgery Alternatives
 - Expansion vs extraction (3D)
 - Minimally invasive surgical expansion
- MMA Surgery (Orthodontic preparation)
- UPPP (UP3) Surgery / Reversible UP3, RF cauterize, other
- Inspire ® "Sleep Pacemaker" implanted to stimulate Genioglossus STAR Project

Learning Objectives

- How alterations in respiration, early in life, can alter <u>cranio-facial growth</u> and leading to common malocclusions, altered airway dimensions and potential health issues
 - The Influence of Snoring, mouth breathing and Apnoea on facial morphology in late childhood A Three-Dimensional Study
 - Richmond S, et al. Cardiff University
- Screening and detection of <u>3D facial Biomarkers</u> (color & geometry)
- Understand where <u>orthodontic</u>, <u>dento-facial & early myo-functional therapy</u> fits into the treatment of <u>pediatric</u> patients

THE MEDICAL / DENTAL TEAM approach to diagnosis and treatment





Thank You THE END



Our grand kids Will & Sara Tate

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REPTON?

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